



Application for the role of Director with Enterprise Credit Union Limited

First Name: -	Surname: -
Address	ECU Membership Number: -
	Work contact number: -
	Home contact number: -
	Mobile number: -

Credit Unions are regulated by both the Financial Conduct Authority and the Prudential Regulation Authority. The regulations require us to undertake due diligence to confirm that any candidate for a Director or Senior Management position is suitable for the role. The due diligence requires assessment of: -

1. Honesty, integrity and reputation
2. Competency and capability
3. Financial soundness

To complete this assessment we review: -

Curriculum Vitae We request a CV as part of the process, which allows us to establish a candidate's experience and previous roles undertaken. Please provide with this application.

Regulatory References We must request standard references from all previous employers, and regulatory references for all financial positions held, in the last 6 years. Regulatory references are a standard format response required for financial roles.

DBS We must undertake a **D**isclosure and **B**arring **S**ervice check. The DBS check will contain details of convictions and conditional cautions that are considered to be unspent under the terms of the Rehabilitation of Offenders Act 1974.

CRA Check A Credit Reference Agency Search is not a regulatory requirement but will provide confirmation that a candidate is not Bankrupt potentially barred from being a Director and also provides background of financial soundness as outlined in the Regulator suitability list above.

This process will only be followed if you are successful in reaching the next stage. At that point we will request your signature to a form authorising this process. Please, however, provide a CV with this application.



I confirm that I would like to apply for the position of Director of Enterprise Credit Union and understand the requirement for due diligence. I also confirm that if successful in my application I will cooperate with the assessment process.

Date: -

Signed: -

Nomination supported by two members: -

First Name: -	Surname: -
Address	ECU Membership Number: -
	Date: -
Signature: -	

First Name: -	Surname: -
Address	ECU Membership Number: -
	Date: -
Signature: -	